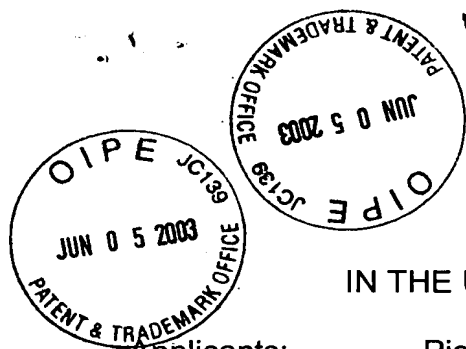


2154



0171.40111X00  
NC 28460 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*[Handwritten signature]*

Applicants: Piotr COFTA et al

RECEIVED

Serial No.: 09/922,672 *[Handwritten mark]*

JUN 06 2003

Filed: August 7, 2001

Technology Center 2100

For: METHOD AND SYSTEM FOR VISUALIZING A LEVEL  
OF TRUST OF NETWORK COMMUNICATION  
OPERATIONS AND CONNECTION OF SERVERS

Group: 2681

Examiner: To Be Assigned

**SUBMISSION OF WRITTEN OPINION**

Commissioner for Patents  
P.O. Box 145  
Alexandria, VA 22313-1450

June 5, 2003

Sir:

Attached hereto for the Examiner's consideration is a copy of the Written Opinion issued April 18, 2003 in the corresponding PCT application. The two U.S. Patents were previously cited and submitted in the Information Disclosure Statement filed May 13, 2003.

To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. §1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (0171.40111X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

*[Handwritten signature of Donald E. Stout]*

Donald E. Stout  
Registration No. 26,422  
(703) 312-6600

Enclosure  
DES:dlh

COPY

DOCKETED

PATENT COOPERATION TREATY 4/21/03 SSRFrom the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: DONALD E. STOUT  
ANTONELLI, TERRY, STOUT & KRAUS, LLP  
SUITE 1800  
1300 NORTH SEVENTH STREET  
ARLINGTON, VA 22209

PCT

WRITTEN OPINION

(PCT Rule 66)

Date of Mailing  
(day/month/year)

18 APR 2003

Applicant's or agent's file reference

0171.40111A00

REPLY DUE

within TWO months  
from the above date of mailing

International application No.

PCT/IB02/02992

International filing date (day/month/year)

01 AUGUST 2002

Priority date (day/month/year)

07 AUGUST 2001

International Patent Classification (IPC) or both national classification and IPC  
IPC(7): G06F 13/00 and US Cl.: 709/219, 328; 713/201

Applicant

NOKIA CORPORATION

1. This written opinion is the first (first, etc.) drawn by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

- I ☒ Basis of the opinion
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step or industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

3. The applicant is hereby invited to reply to this opinion.

**When?** See the time limit indicated above. ~~The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).~~

**How?** By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

**Also** For an additional opportunity to submit amendments, see Rule 66.4.  
For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 *bis*.  
For an informal communication with the examiner, see Rule 66.6.

**If no reply is filed**, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 07 DECEMBER 2003

Name and mailing address of the IPEA/US

Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

VIET VU

Telephone No. (703) 305-9600

WRITTEN OPINION

International application No.

PCT/IB02/02992

I. Basis of the opinion

1. With regard to the elements of the international application:\*

- ☒ the international application as originally filed
- ☒ the description:  
 pages 1-27 \_\_\_\_\_, as originally filed  
 pages NONE \_\_\_\_\_, filed with the demand  
 pages NONE \_\_\_\_\_, filed with the letter of \_\_\_\_\_

- ☒ the claims:  
 pages 28-37 \_\_\_\_\_, as originally filed  
 pages NONE \_\_\_\_\_, as amended (together with any statement) under Article 19  
 pages NONE \_\_\_\_\_, filed with the demand  
 pages NONE \_\_\_\_\_, filed with the letter of \_\_\_\_\_

- ☒ the drawings:  
 pages 1-9 \_\_\_\_\_, as originally filed  
 pages NONE \_\_\_\_\_, filed with the demand  
 pages NONE \_\_\_\_\_, filed with the letter of \_\_\_\_\_

- ☒ the sequence listing part of the description:  
 pages NONE \_\_\_\_\_, as originally filed  
 pages NONE \_\_\_\_\_, filed with the demand  
 pages NONE \_\_\_\_\_, filed with the letter of \_\_\_\_\_

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  
 These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the written opinion was drawn on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☒ The amendments have resulted in the cancellation of:

- ☒ the description, pages NONE
- ☒ the claims, Nos. NONE
- ☒ the drawings, sheets/fig NONE

5. ☐ This opinion has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed".

WRITTEN OPINION

International application No.

PCT/IB02/02992

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. statement

Novelty (N)	Claims	<u>2-16,18-31,38-41</u>	YES
	Claims	<u>1,17,32-37</u>	NO
Inventive Step (IS)	Claims	<u>NONE</u>	YES
	Claims	<u>1-41</u>	NO
Industrial Applicability (IA)	Claims	<u>1-41</u>	YES
	Claims	<u>NONE</u>	NO

2. citations and explanations

1. Claims 1, 17 and 32-37 lack novelty under PCT Article 33(2) as being anticipated by Renaud et al, U.S. pat. no. 5,958,051.

Renaud discloses a system for enabling secured communications between a browser terminal and a network comprising:

- a) initiating a terminal session with the browser,
- b) providing information including certificate and rating from the network to the browser (see col 6, lines 53-64),
- c) verifying and displaying security level of the certificate based upon communication standard prior to conducting data session (see col 11, lines 46-65 and col 13, lines 54-67).

2. Claims 2-16, 18-31 and 38-41 lack an inventive step under PCT Article 33(3) as being obvious over Renaud in view of Sullivan, U.S. pat. no. 5,953,528.

Renaud's teachings are still applied. Renaud does not teach using visible indicators for specifying different levels of trust associated with a particular object. Such teachings are disclosed by Sullivan (see Sullivan's col 6, lines 2-6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Renaud with Sullivan's teachings because it would have allowed users to more easily recognize different levels of trust associated with the network and data files.

\_\_\_\_ NEW CITATIONS \_\_\_\_\_  
NONE

WRITTEN OPINION

International application No.

PCT/IB02/02992

**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

**TIME LIMIT:**

The time limit set for response to a Written Opinion may not be extended. 37 CFR 1.484(d). Any response received after the expiration of the time limit set in the Written Opinion will not be considered in preparing the International Preliminary Examination Report.